Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-19**

of M/s. Abbasi & Company (Pvt) Ltd.

Submission Date 15-JAN-2020 15:58:54

	Submission Date 13-5AN-2020 13.50.54		Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	213,309,103	213,309,103	
1.2	Intangible Assets	1,442,655	1,442,655	
1.3	Investment in Govt. Securities	, ,	, , ,	
1.4	Investment in Debt. Securities			
	If listed than:			
	 i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. 			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	49,093,389	7,719,687	41,373,702
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,600,000	1,600,000	
1.8	or any other entity.	1,600,000	1,600,000	
	Margin deposits with exchange and clearing house.	22,500,000	0	22,500,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	000.000	0.000 000	
1.11	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	6,880,660	6,880,660	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	55,460	0	55,460
1.17	Receivable of entrienents against trading of securities in an markets including with gains.	55,400	0	55,400
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	85,712	0	85,712
	iv. Balance sheet value	4 065 044	1 407 000 450	4 005 04 4
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	1,965,214	1,407,329,456	1,965,214
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.			
1.10	Cash and Bank balances i. Bank Balance-proprietory accounts	50,497,993	0	50,497,993
	ii. Bank balance-customer accounts	169,151,365	0	169,151,365
1	iii. Cash in hand	162,380	0	162,380

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-19**

of M/s. Abbasi & Company (Pvt) Ltd.

Submission Date 15-JAN-2020 15:58:54

B. No. Each of Addoma Value 4n PAR Ruges Bait Cub/ PAR Ruges Bait Cu		Submission Date 13-JAN-2020 13.30.34		Page	2 Of 3
2 Intellines 1 1 2.1 Tudge Psycholos 1 </th <th>S.No.</th> <th>Head of Account</th> <th></th> <th></th> <th>-</th>	S.No.	Head of Account			-
2 Index Payables Index Payables and Exercing house Index Payables and Exercing house 1 Payable Exercising and Exercising house Index Payables Causables Index Payables 2 Current Liabilities Index Payables Index Payables 3 Control Liabilities Index Payables Index Payables 4 Exercising Causables Index Payables Index Payables 5 Statut Fern Instancing Quartering Causables Index Payables Index Payables 2 Non-Current Liabilities Index Payables Index Payables Index Payables 3 Non-Current Liabilities Index Payables Index Payables Index Payables 4 Developed Liabilities Index Payables Index Payables Index Payables 1 Non-Current Liabilities Index Payables Index Payables Index Payables 2 Non-Current Liabilities Index Payables Index Payables Index Payables 3 Non-Current Liabilities Index Payables Index Payables Index Payables 4 Developed Payables Index Payables Index Payables Index Payables	1.19	Total Assets	516,743,931	1,638,281,561	285,791,826
In Prysible is exchanges and clearing house 1 In Prysible is calculated. 169:151.365 0 21 Lipsable is calculated. 169:151.365 0 23 Lipsable is calculated. 6.381.879 0 5.381.879 24 Lipsable is calculated. 6.381.878 0 5.381.879 24 Lipsable is calculated. 6.381.878 0 5.381.879 25 Lipsable is calculated. 0 0 5.381.879 25 Lipsable is calculated. 0 0 5.381.879 26 Lipsable is calculated. 0 0 5.438.87 26 Lipsable is calculated. 0 5.40.878 0 5.43.878 27 Non-Current Lipsable is calculated. 0 5.40.878 0 5.43.878 27 Non-Current Lipsable is calculated. 0 0 5.40.878 0 5.40.878 28 Non-Current Lipsable is calculated. 0 0 5.40.878 0 5.40.878 0 5.40.878 0	2	Liabilities			
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Image: Projectic in constraints 109, 101, 385 0 109, 101, 385 22 Current Libbilities 6, 387, 367 0 6, 387, 367 13 Statuting and regulation dises 0 0 6, 387, 367 0 14 Statuting and regulation dises 0 0 6, 387, 367 0 15 Statuting and Product durits 0 0 6, 387, 367 0 15 Statuting and Product durits 0 0 6, 387, 367 16 Records for to task of the statuting processing and included in the financial statements 0 640, 398 23 Non-Current Libbilities 0 640, 398 0 640, 398 1 Statuting and the statuting processing and included in the financial statements 0 640, 398 24 Non-Current Libbilities 0 640, 398 0 640, 398 1 Statuting and regularities financial statements 0 0 0 1 Statuting and regularities financial statements 0 0 0 1 Statuting and regularities financial statements 0 0 0 1 Statuting and regularities financial statements 0 0 0 1 Statuting and regularitis and regular					
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b. Consent potion of addedinated bars			5,381,879	0	5,381,879
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viii. Rovision for tasad debi. 640.300 0 6443.20 21 Non-Current Labilities 640.300 0 6443.20 21 Non-Current Labilities 640.300 0 6443.20 21 Non-Current Labilities 640.300 0 6443.20 22 Non-Current Labilities 640.300 0 6443.20 23 Non-Current Labilities 640.300 0 640.300 24 Non-Current Labilities 640.300 640.300 640.300 25 Total Induction Inducting amount due against finance issee 640.300 640.300 640.300 25 Total Induction Inductin Induction Induction Induction Induction Inductio					
k. Other labilities as per accounting principles and included in the financial statements					
23 Non-Current Liabilities Image: Second Secon			540,390	0	540,390
Long-Jerm Sinancing Image: Second Secon	23				
a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease.	2.5				
a financial institution including amount due against finance lease					
III Staff reliment benefits III Staff reliment benefits IIII Advance against shares for increase in Capital of Securities bioker 100% halicult may be allowed in respect of advance against shares it. IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII		a financial institution including amount due against finance lease			
III. Advance against shares for increase in Capital of Securities troker. 100% halicut may be allowed in respect of advance against shares bera obtained Image: Capital advance advance advance against shares bera obtained Image: Capital advance advance advance against shares bera obtained Image: Capital advance advanc		b. Other long-term financing			
a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. Increase in capital c. Relevant Regulatory approvals have been completed. e. Audito's satisfied that such downed is against advance and all regulatory requirements relating to the increase in paid up capital have been completed. increase in paid up capital have been completed. e. Audito's astisfied that such downed is against the increase of capital. increase in paid up capital have been completed. b. Tobse of Subordinated Icans which fulfill the conditions specified by SECP are allowed to be deducted: increase in capital have been control to the paid and the completed in the instance instance instance in the instance insthe stance instance instance instance instance					
b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in size of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satified that such advance is against advance and all regulatory requirements etailor is satified that such advance is against advance and all regulatory requirements 24 Subordinated Loans intercept of capital intercept of capital a. Ioan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 1 months of capat, following capital on which is regraphied be within ext 12 months. intercept is a capital intercept of capital is a capital intercept of capital is atterment must be submitted to exchange. z. Ioan agreement must be advanted to exchange. intercept is atternet must be submitted to exchange. intercept is atternet must be submitted to exchange. z. Ioata agreement must be advanted to exchange. intercept is atternet must be advanted to exchange. intercept is atternet must be advanted to exchange. z. Ioata agreement must be advanted and and to exchange. intercept is atternet must be advanted to exchange. intercept is atternet must be advanted to exchange. z. Ioata agreement is advance and all specified by SICP intercept is atterept is atternet must be advanted at a must be cavable fr					
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e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities are per accounting principles and included in the financial statements iu. iv. Other liabilities are per accounting principles and included in the financial statements iu. iv. Other liabilities are per accounting principles and included in the financial statements iv. iv. Other states are performed and the states and the statements iv. iv. Other states are performed and the states are perified. a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No halicut will be allowed against short term portion which is repayable within nex 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP its and the statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP its and the adgregate of amount steekvable from any of the fina amount calculated client-to - client basis by which any amount receivable from any of the financese secceed 10% of the adgregate of iv. Other with the adgregate of: iv. Other with the adgregate of: iv. Other with the adgregate of: iv. Other with the generate of securities is less than or equal to the subscription price: iv. Budden is a contrast, price and states and addition of the market value of shares borrowed iv. Other with the underwriting commitments and iv. Other with the subscription price: iv. Subordinated by the borrower with NCCPL iv. and the subscription price: iv. and calculated of the substription price: iv. and substription price. iv. and substriptis substriptis spece of: iv. and the with the underwriting com					
iv. Other liabilities are accounting principles and included in the financial statements Image: Control of the conditions specified by SECP are allowed to be deducted: 1.100% of Subordinated loans which fulfill the conditions specified by SECP in this regard, following conditions are specified: Image: Conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period Image: Conditions and statement shall be made to the Liquid Capital and revised Liquid Capital statement must be executed to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP Image: Concentration in Margin Financing 2.5 Total Liabilities Relating to : Image: Concentration in Margin Financing 1 Concentration in Margin Financing Image: Concentration in Margin Financing 1 Concentration in Margin Financing Image: Concentration in Margin Financing 1 Concentration in securities lending and borrowing Image: Concentration in Securities lending and borrowing 1 Concentration in securities lending and borrowing Image: Concentration in securities lending and borrowing 1 Concentration in the aggregate of mounts (securities is less than or equal to the subscription finances. Image: Concentration in securities is less than or equal to the subscription finances. 3.1 C					
200% of Subscriptionated bans which fulfill the conditions specified by SECP are allowed to be deducted: In 100% of Subscription 100% hairs and the allowed against subscription are specified. a rate agreement as the initiation of the subscription of the separation of the separa		iv. Other liabilities as per accounting principles and included in the financial statements			
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3 Ranking Liabilities Relating to :		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid (Capital statement must be submitted to exchange.			
3 Ranking Liabilities Relating to :	2.5	Intel Linkiliter	175 073 634	0	175 073 634
3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			170,070,004	0	110,010,004
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of:	-				
3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price: the aggregate of: (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. (ii) the value by which the underwriting commitments exceeds the market price of the securities. (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary wecced the total lassets of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 3.6 Amount Payable under REPO (ii) the solue date of inforeign currency less total liabilities denominated in foreign currency		The amount calculated client-to- client basis by which any amount receivable from any of the			
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3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
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(b) in any other case : 12.5% of the net underwriting commitments					
3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		(b) in any other case : 12.5% of the net underwriting commitments			
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: Constraint of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: Constraint of the subsidiary 3.6 Amount Payable under REPO Image: Constraint of the subsidiary	3.4				
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
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		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.7 Kepo adjustment					
	3.1	kepo adjustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-19**

of M/s. Abbasi & Company (Pvt) Ltd.

Submission Date 15-JAN-2020 15:58:54

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financeekseller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	650,260	650,260
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	650,260	650,260
3.12	Liquid Capital	341,670,297	1,637,631,301	110,067,932